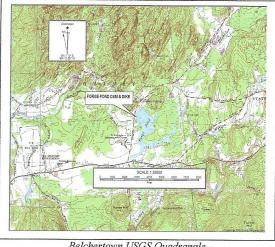
EMERGENCY ACTION PLAN

for

FORGE POND DAM & DIKE

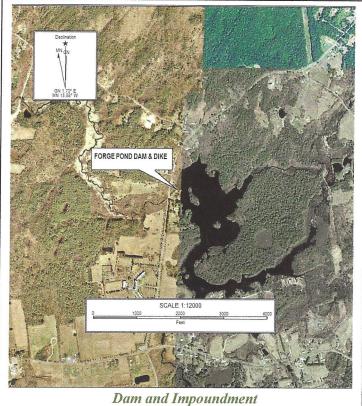
GRANBY, HAMPSHIRE COUNTY, MASSACHUSETTS

National I.D. Number: MA00488/MA00489 State ID Number: 2-8-111-2/2-8-111-3 Dam Location: 42.274511°N/-72.47085°W



Belchertown USGS Quadrangle





Dam Owner:	Dam Caretaker:
Town of Granby	Town of Granby Department of Public
Board of Selectmen	Works
Senior Center Building – 2nd Floor	Director of Public Works
10-B West State Street	15 Crescent Street
Granby, MA 01033	Granby, MA 01033
Phone: 413-467-7177	Daytime Phone: 413-467-7575

Plan Developed 2019-October Revision Number N/A Date N/A

PROJECT REVIEW

PROJECT

Emergency Action Plan Re: Forge Pond Dam & Dike MA00488/MA00489

The following verification of EAP review is required under MGL Chapter 253 and 302 CMR 10.0. This verification of review is to become a part of the Emergency Action Plan and is to accompany the Plan copies submitted to the Department of Conservation and Recreation, Office of Dam Safety and the Massachusetts Emergency Management Agency. The purpose of this verification is to document that the local Emergency Management Director has received and reviewed a draft copy of the Plans.

Signing of this document by the local Emergency Management Director acknowledges that the above described review process has taken place.

EMERGENCY MANAGEMENT DIRECTOR

Name:	CHRISTOPHER MARTIN	
Title:	DIRECTOR OF EMERGENCY	MANAGEMENT
Signature:	affather	
Date:	11/08/2019	

EAP 2019

FORGE POND DAM

EMERGENCY ACTION PLAN TABLE OF CONTENTS

CHAPTER

PAGE

PREAMBLE NOTIFICATION FLOWCHART

1.0	NOTIFICATION PROCEDURES
1.1	Notification Flowchart 1-1
1.2	Emergency Notification Template 1-1
1.3	Impact Summary / Road Closures1-2
1.4	General Response Flowchart1-2
2.0	PROJECT DESCRIPTION2-1
3.0	GENERAL RESPONSIBILITIES
4.0	INUNDATION MAPS
4.1	Inundation Map Development
4.2	Impacted Area Summary
INUND	ATION MAPPING

FIGURES

Figure 1	Topographic Locus Map
Figure 2	Aerial Photo Locus Map
Figure 3	Street Locus Map
Figure 4	Site Sketch from Phase I Inspection Report

APPENDICES

Appendix A	Preparedness
Appendix B	Emergency Detection, Evaluation, and Classification
Appendix C	Termination & Recovery
Appendix D	Materials & Equipment
Appendix E	Sign Off Sheets
Appendix F	Common Dam Safety Definitions

REFERENCES

PREAMBLE

This Emergency Action Plan was prepared for the Town of Granby, Owner of the Forge Pond Dam & Dike (Dam) in accordance with the Commonwealth of Massachusetts General Laws, M.G.L. 253, Section 44, Chapter 302 C.M.R. 10.00, "Dam Safety, dated February 10, 2017" to establish a basic plan of action if conditions at the dam indicate the potential for dam failure or if any individual observes and reports that a dangerous condition is developing at the dam. The development of this EAP has been primarily based on the Federal Emergency Management Agency (FEMA) "Federal Guidelines for Dam Safety: Emergency Action Planning for Dam Owners," dated October 1998, the Federal Energy Regulatory Commission (FERC) "Emergency Action Plan Guidelines," dated November 1998, 2006 NRCS recommendations for developing EAPs, and other publicly available EAP templates from state dam safety programs.

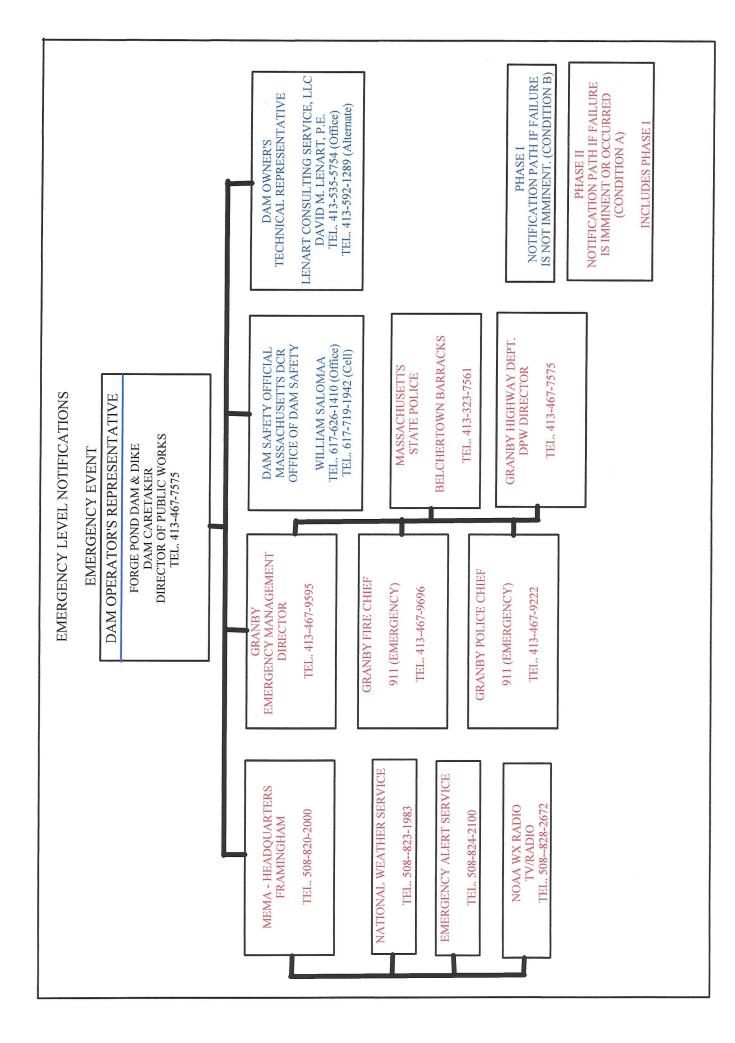
The purpose of this plan is to define responsibilities and provide procedures for identifying unusual and unlikely conditions, which may endanger the Forge Pond Dam and infrastructure downstream of the dam, in time to take mitigated action and to notify the appropriate emergency management officials of possible, impending, or actual failure of the dam in order to minimize property damage and loss of life.

This Emergency Action Plan should not be viewed as a substitute for implementing standard dam maintenance, inspections and repairs in accordance with good dam operations.

It is important to note that the condition of the dam depends on numerous and constantly changing internal conditions and is evolutionary in nature. It would be incorrect to assume that the condition of the dam will remain the same over time. Only through continued care and inspection can there be any chance of detecting unsafe conditions before they result in an emergency condition.

The EAP is housed in a three-ring binder to easily facilitate updates to the plan. The EAP should be updated and exercised annually to ensure that the information is current. Most importantly, the names and telephone numbers of emergency response personnel listed in the Notification Flowchart shall be updated periodically. The general layout of an emergency response is as follows:

NOTIFICATION FLOWCHART



1.0 NOTIFICATION PROCEDURES

1.1 Notification Flowchart

The Notification Flowchart preceding this section indicates that chain of communication to be followed in the event of an Emergency. The Notification Flowchart indicates a Phase I and Phase II type of notification to be implemented depending on the emergency classification level (Emergency Condition Watch or Dam Failure Warning) as determined necessary based upon the judgment of the personnel monitoring the emergency condition at the dam (see Appendix B for additional descriptions).

- <u>Dam Safety Watch:</u> "Potential failure is developing": This is a situation where a failure may eventually occur if left unattended. This situation will require a Phase I response with continuous monitoring of the situation.
- **Dam Failure Warning: "Failure is Imminent or has occurred":** This is a situation where a failure either has occurred, is occurring, or is just about to occur. This situation will require Phase I and II responses that will proceed with evacuation procedures.

During the highest emergency level (Dam Failure Warning), procedures are to evacuate the downstream residents using a combination of the telephone, augmented by police cruising the area broadcasting the evacuation message and going door to door to homes that cannot be reached by telephone. To ease this burden somewhat, the National Weather Service can be alerted at (508) 823-1983 and they will make a general broadcast about the evacuation over the airways. *The National Weather Service will call the Fire Department to verify the emergency. Therefore, the Fire Department should be called before the National Weather Service is contacted. MEMA can also be contacted to activate the Emergency Alert Service.*

The flowchart should be updated yearly to account for local or state personnel changes. Any new personnel should be informed and trained to perform their responsibilities under this plan.

This Notification Flowchart is contained within the opening pages of this document.

1.2 Emergency Notification Template

Once the emergency condition has been identified, and the appropriate response level has been determined, the following template can be used as a guide for notification announcements:

"This is (your name, title, affiliation)

You are being contacted per the Emergency Action Plan for the Forge Pond Dam.

Please be advised: A Dam (Dam Safety / Warning / Watch) condition has been identified at the Forge Pond Dam.

The observation was made at (time and date)

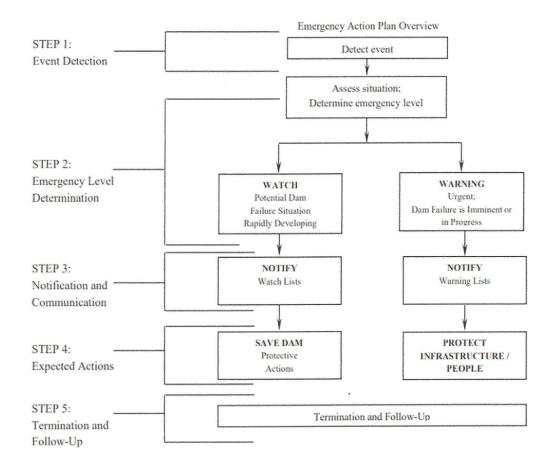
The situation is (provided brief description)

It is recommended that (Remain on alert; Prepare for Evacuation; Evacuate the area and move to higher ground)"

1.3 Impact Summary / Road Closures

The downstream flooding from failure of Forge Pond Dam was estimated by Lenart Consulting Service, LLC using Simplified Dam Break (SMPDBK) software (see Section 4.0). Based on the analysis, the downstream flooding is expected to impact portions of the Town of Granby along Batchelor Brook. The estimated peak flow through the dam breach is 3,430 cfs. See Section 4.0 for an inundation map as well as more information on roadways and buildings in the downstream inundation zone.

1.4 General Response Flowchart



2.0 PROJECT DESCRIPTION

Dam Name: Forge Pond Dam STATE-ID#: 2-8-111-2 City/Town: Granby Size Classification: Intermediate Hazard Classification: Significant Federal ID (NID): MA00488 County: Hampshire

Location: Forge Pond Dam is located on Forge Pond in the Town of Granby, Massachusetts in Hampshire County. The dam location is shown on the USGS quadrangle map at coordinates 42.274511, -72.470850.

Access: Access to the dam is from School Street. See Figure 1 and 2, the USGS site locus map and an aerial photograph site locus appended to this report in the Figures section.

The following information pertains to the dam as identified as MA00488. The dam and dike are considered the dam.

Lot No: B-6	Block No: 14	
Latitude: 42.274511°N	Longitude: -72.470850°W	
River/Stream/River Basin: Bachelor Brook	Nearest City/Town: Granby	
Quad Sheet: Belchertown, MA	Normal Surface Area (ac): 72	
Hydraulic/Structural Height (ft.): 11.0 / 13.5	Normal Storage (ac-ft.): 380	
Embankment Length (ft): 115	Maximum Storage (ac-ft.): 600	
Dam Type: Earthen Embankment w/ concrete/masonry sp	pillway	
Spillway Type: Broad-crested weir, concrete and stone ma	asonry	
Type of Dike: N/A Spillway Capacity (cfs): 1,005		
Outlet Type (other than spillway): 36" Sluice Gate	Drainage Area (sq. mi.): 14.2 Year	
Built: 1900	Last Rehabilitation: 1978	

Purpose/Operation of Dam (attach additional sheets if necessary): Recreation

Instrumentation (if any): None

Upstream Dams: None

Downstream Dams: Aldrich Lake Dam

Description of Inundation Area and Downstream Hazards: Based on the analysis, the downstream flooding is expected to impact portions of the Town of Granby along Batchelor Brook including School Street, Trompke Avenue, North Street, Porter Street, one private residence and one business.

Method of emergency drawdown: 36" Sluice Gate

3.0 GENERAL RESPONSIBILITIES

3.1 Summary of Responsibilities

Entity	Responsibilities	
Dam Owner: Town of Granby, Board of Selectmen Senior Center Building – 2nd Flr. 10-B West State Street Granby, MA 01033 Phone: 413-467-7177 Dam Caretaker: Granby Dept. of Public Works 15 Crescent Street Granby, MA Phone: 413-467-7575	 Notify local authorities. Upon receiving report of an incident, contact the Emergency Management Director (EMD) and identify the report. Evaluate the extent/nature/severity of the incident. Update the EMD as to the need to implement the EAP. Monitor the situation at the dam for the duration of the emergency. Update the EMD and other local and state authorities of developing conditions at the dam for the duration of the emergency situation. 	
Local Emergency Management: Granby Emergency Management Director Phone: 413-467-9595 Or 9-1-1	 Contact and warn population in area of potential impacts; Coordinate efforts with other parties involved in the EAP as necessary. The EMD/Incident Commander will serve as the contact point for disseminating all updates concerning the condition of the emergency. 	
Local Fire Department: Granby Fire Chief Phone: 413-467-9696	 Assist in the evacuation of special needs and/or elderly citizens. Provide additional evacuation support as needed. Assist EMD as needed. 	
or 9-1-1		
Local Police Department: Granby Police Chief Phone: 413-467-9222 or 9-1-1	 Assist in securing the site and implementing evacuation if necessary (i.e. coordinating barricades, street closures, traffic flow). Utilize appropriate and/or necessary evacuation procedures, which may include but are not limited to, multilingual broadcasts, slow-speed broadcasts, and coordinated efforts with other emergency responders. 	
Massachusetts Emergency Management Agency (MEMA) 24 hrs: 508.820.2000	 Coordinate broadcast notification as <u>requested</u> by the local Fire/Police/EMD. Mobilize necessary equipment as <u>requested</u> by the local Police/Fire/EMD. 	
Massachusetts State Police Belchertown Barracks 24 hrs: 413-323-7561	1. Assist in securing the site, implementing evacuation, and controlling traffic flow in and out of the impacted area as <u>requested</u> by the local Police Department.	

Emergency Response Coordination

During an emergency situation, Emergency Management Director [Incident Commander] will be responsible for the proper organization and operation of the Emergency Action Plan. He/she will coordinate all activities with state and local authorities.

4.0 INUNDATION MAPS

4.1 Inundation Map Development

To evaluate the extent of downstream flooding due to a failure of Forge Pond Dam, Lenart Consulting performed a simulation of a dam break using the Simplified Dam Break (SMPDBK) software, developed by the National Weather Service (NWS) and made available by the RiverMechanics Group.

Inputs to the program include the length and height of the structure, reservoir volume, reservoir storage area, the dam breach parameters, downstream cross-section and Manning's N values for each downstream reach. Outputs from the program include the flood zone, peak flow, maximum depth at downstream locations, and the arrival time of the flood waters.

The input parameters used for the model are listed below. Note that this is a hypothetical estimate and an actual breach may have different characteristics.

- Type of Dam: Concrete and masonry spillway and vertical stone masonry wall on the downstream side
- Dam Breach Elevation (ft, NAVD88): 273.5 (at top of dam)
- Storage Volume at Failure (acre-ft): 380
- Surface Area of Reservoir (acres): 72
- Final Breach Width (ft): 30
- Time to Failure (min): 60
- Final Breach Elevation (ft, NAVD88): 260
- Antecedent Flow Through Dam: None
- Antecedent Downstream Flooding: None
- Failure Mode-Overtopping

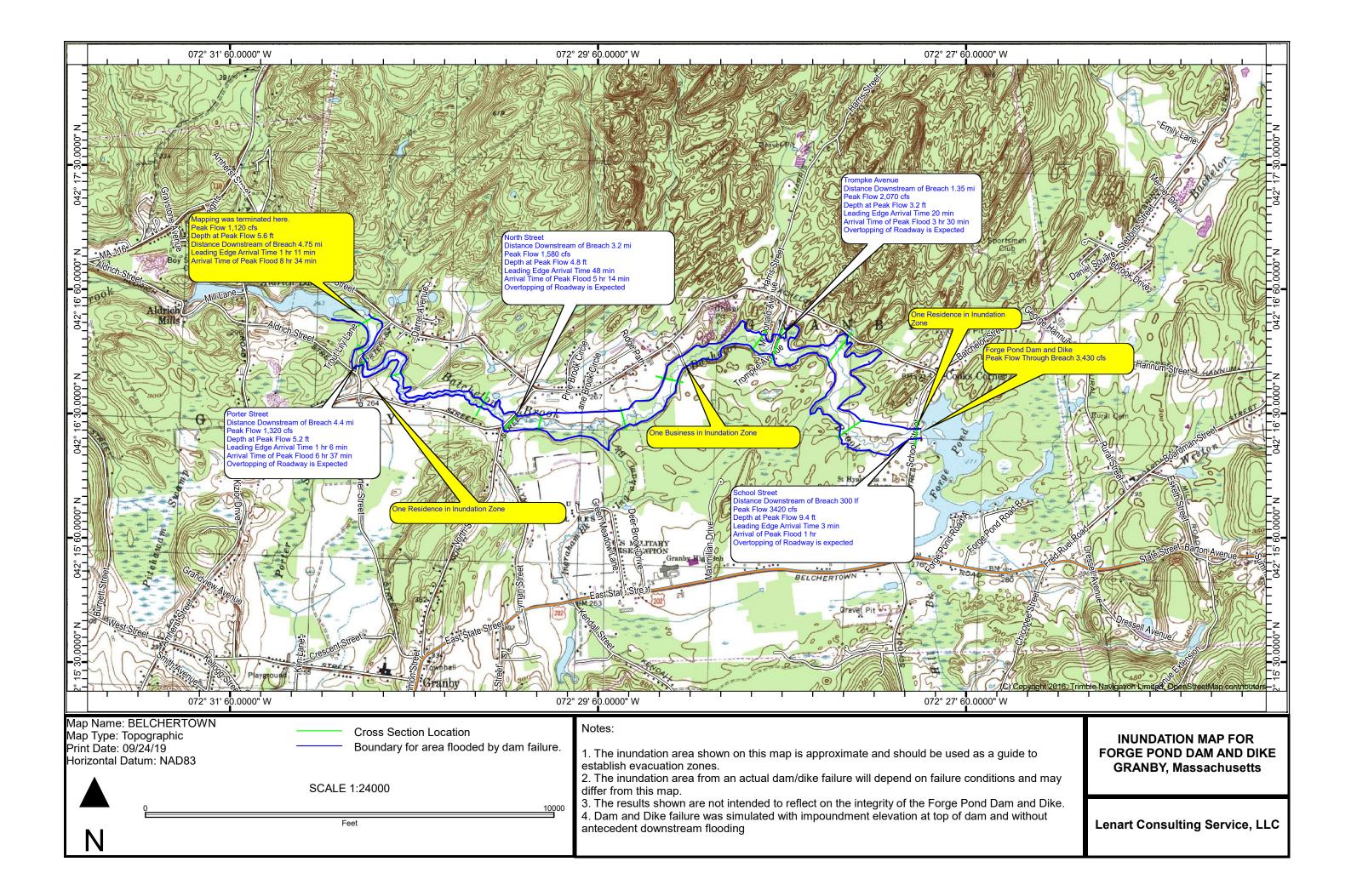
Note that the inundation zone from the dam failure may be affected by antecedent flooding (i.e. flooding from rainfall) and downstream bridges and dams. Lenart Consulting Service, LLC's simulation does not include antecedent flooding in the downstream area. Downstream bridges and dams are represented in the model by the terrain. If the terrain data did not capture the bridge opening, the simulation assumes the bridge is blocked.

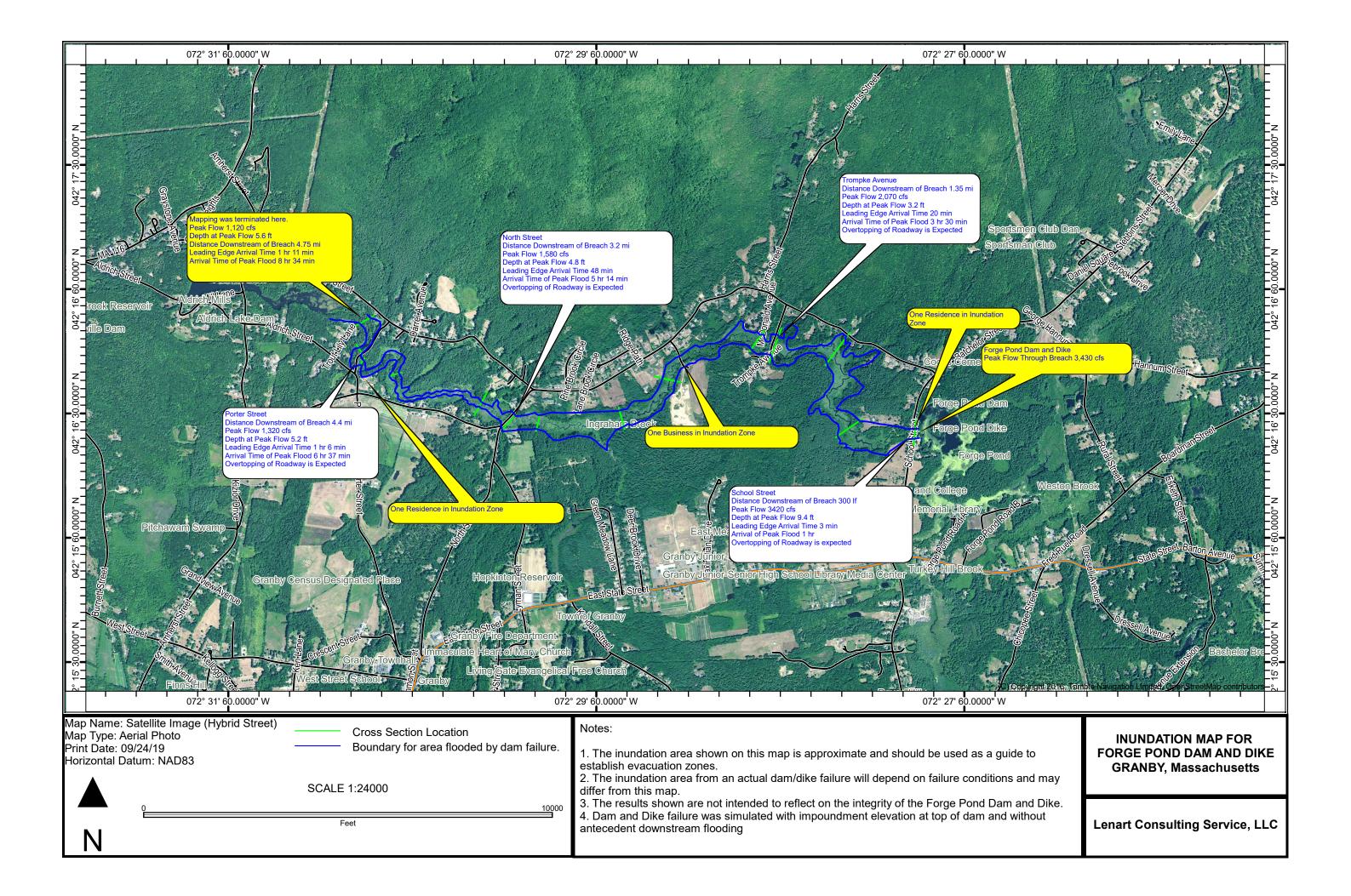
4.2 Impacted Area Summary

The estimated peak flow through the dam breach is 3,430 cfs. The impacted area is shown on the Inundation Map following.

Based on the analysis, the downstream flooding is expected to impact portions of the Town of Granby along Batchelor Brook including School Street, Trompke Avenue, North Street, Porter Streetone private residence and one business.

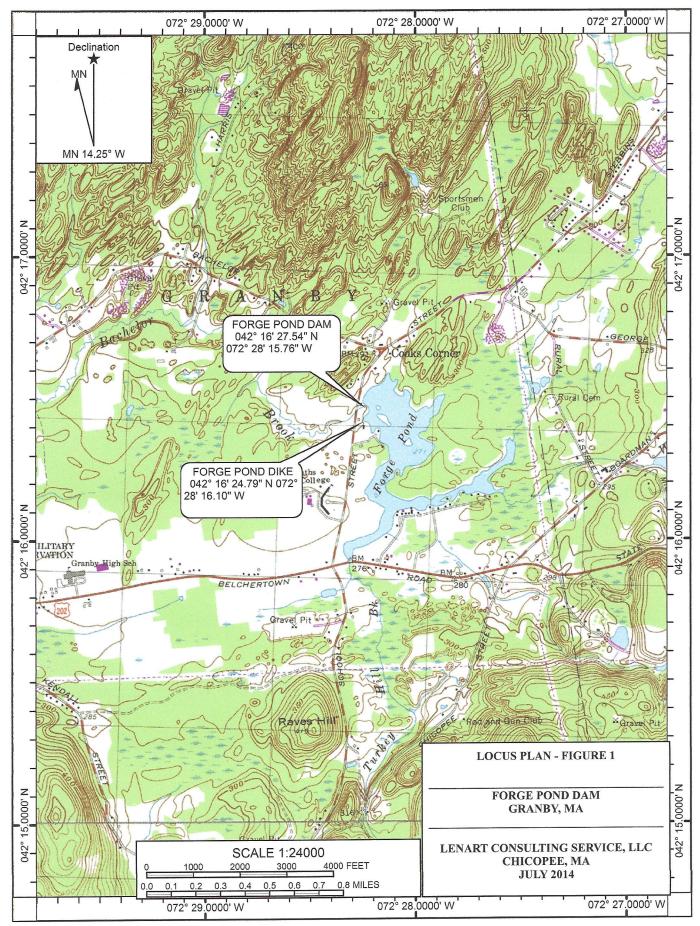
Note that roadways that are in the inundation zone but not overtopped should be used with caution. The dam breach flood wave may still adversely affect these roadways (e.g., by scour / erosion) and travel along roadways above the flood wave is not recommended or should be monitored.

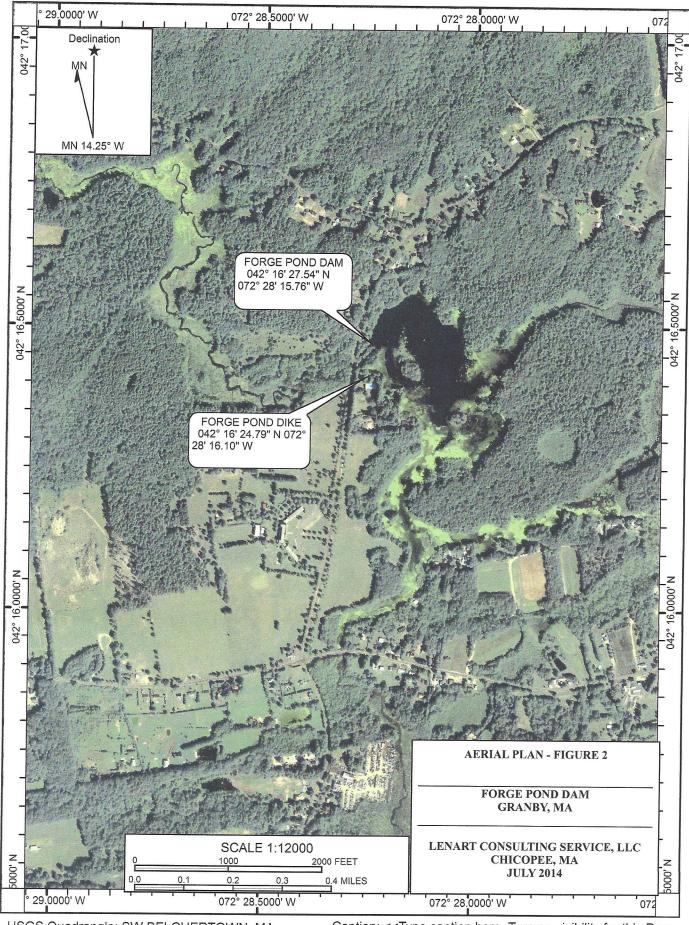




FIGURES

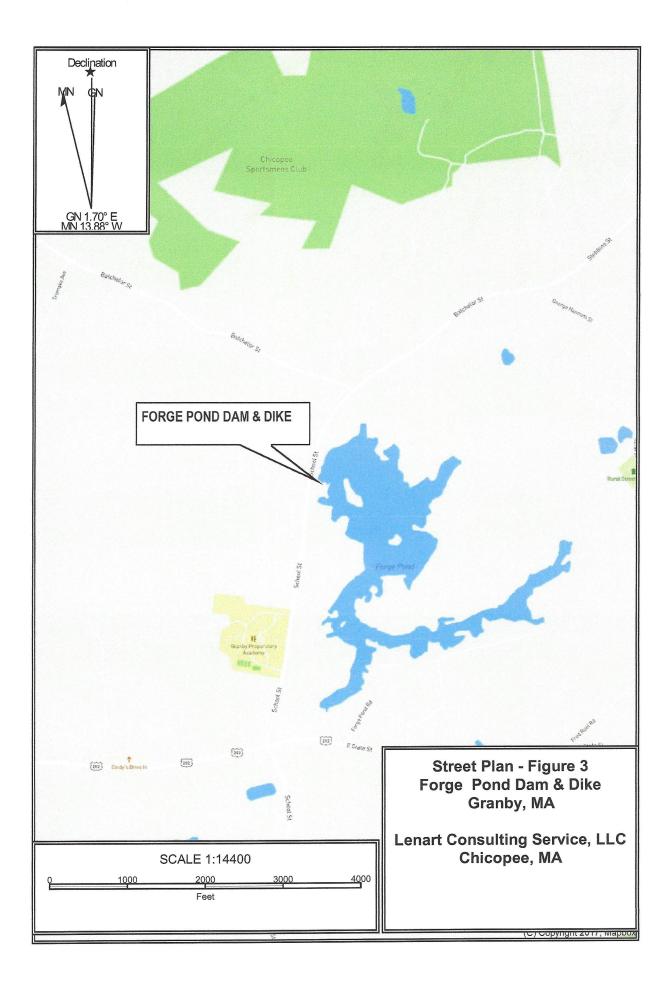


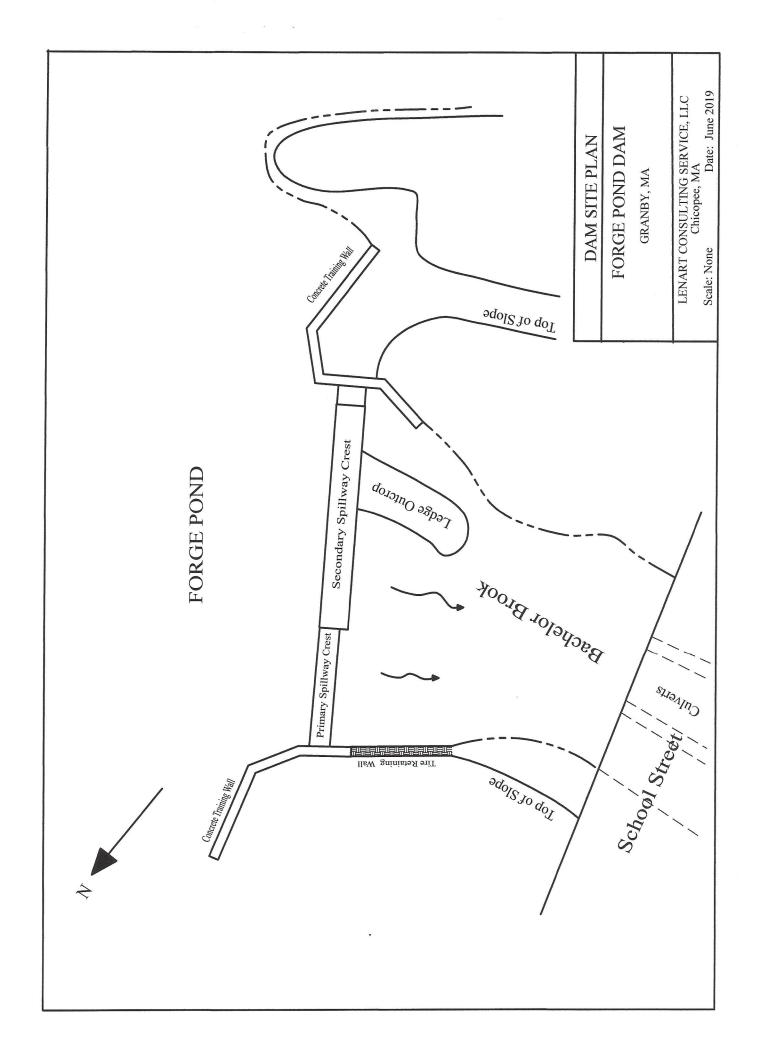


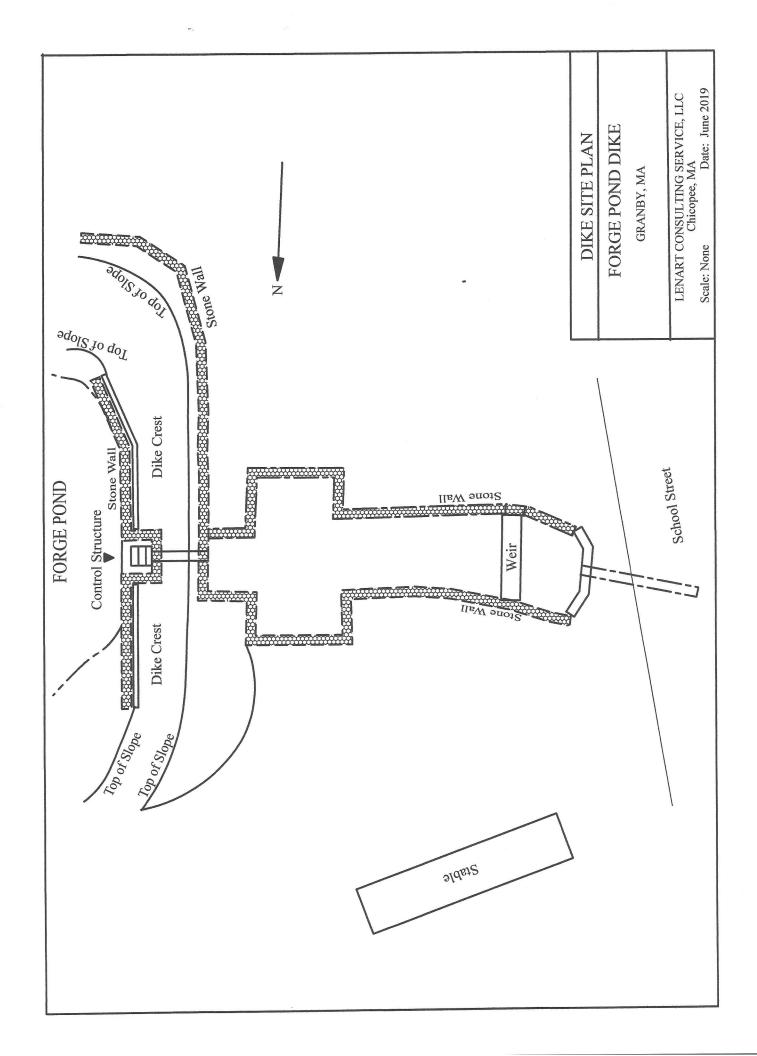


USGS Quadrangle: SW BELCHERTOWN, MA

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Appendix A Preparedness

1

PREPAREDNESS

Preparedness actions are taken to prevent an emergency situation from developing or to minimize the extent of damage caused from a developed emergency situation. The preparedness actions may be by providing response procedures to emergency situations and/or arranging for equipment, labor, and materials for use in emergency situations.

Surveillance

The most important step to activating an EAP is the identification of a problem at the dam. If a problem is not identified, the plan cannot be implemented. Problem identification will be much easier if knowledgeable personnel regularly monitor the dam closely.

The dam owner and dam operator must continue to monitor the dam on a regular basis. This is especially important during high rainfall events and during spring runoff conditions when large amounts of snow melting occur. Appendix B identifies some potential hazards that could lead to dam failure. The Town of Granby Department of Public Works is currently responsible for implementing operational and maintenance activities for the dam.

It is impossible to predict when an emergency situation will develop, therefore it is important that emergency contact information be posted at the site so that a casual observer can contact emergency personnel if they observe an unusual condition.

Access to Site

Access to the dam is from School Street.

Operations and Maintenance Manual

Forge Pond Dam does not have a current operation and maintenance plan.

Response during Periods of Darkness

There is no lighting equipment at Forge Pond Dam.

The embankment, spillway, low level outlet and any distressed areas of the dam should be illuminated if an emergency condition develops during period of darkness. This will allow the emergency condition to be monitored, assessed, and help facilitate a response. Lighting (e.g. portable light towers) is available for use by town and state agencies through MEMA. Emergency power and remote lighting contingencies may be available from local rental companies, such as United Rentals, 562 Holyoke Street, Ludlow, MA 413-589-7876.

Response during Evenings, Weekends, and Holidays

The Notification Flowchart can be used for evenings, weekends, and holidays. When practical, redundancies of personnel and alternate telephone contact numbers have been provided.

Response during Periods of Adverse Weather

Undoubtedly, personnel from the Department of Public Works and the local and state emergency management will be in a heightened state of readiness in the event of predicted or actual adverse weather conditions. The dam is easily accessible by town and other emergency personnel via School Street.

Training and Testing

Training and testing of the EAP is the responsibility of the dam owner. The dam owner should coordinate training and testing with local responders and emergency personnel within the municipalities impacted by a dam failure. Training/orientation seminars should be held for all operators, attendants and other personnel (i.e. police and fire) responsible for the implementation of the plan. After the initial training seminar, it is recommended that a special meeting be held to explain the plan to the downstream residents and elected officials. The meeting with downstream residents will be extremely beneficial at a time of emergency.

It is recommended that the EAP or components of the plan be tested periodically. The testing should be conducted through the use of communication drills and table-top exercises. Testing should include operators, attendants, police, fire and other personnel responsible for the implementation of the plan. Downstream residents shall not be included in the test.

Below is a list of suggested training exercises, the frequencies they should be conducted, and the topics they should cover:

Seminars with Emergency Personnel

- Frequency: As needed
- Topics:
 - New hires should be briefed on their duties during an emergency response.
 - $\circ~$ At a minimum a read through of the EAP and a brief assessment should be conducted.

Emergency Management Workshop

- Frequency: Annually
- Attendees: Selectmen, Caretaker, Town of Granby Emergency Management, MEMA, State Police
- Topics:
 - Authorities responsible for executing the EAP should gather to discuss the EAP.
 - Review and updating of the Notification Flowchart, Emergency Contacts, and Emergency Warning systems should be conducted at this time.
 - Parties should discuss the response effort (specifically the Notification Flowchart) and the corrective actions to be taken at the dam during various scenarios.
 - Lines of communication should be streamlined such that a developing condition at the dam can be assessed and handled.

Public Meetings

- Frequency: Every 2 Years
- Topics:
 - The public should be educated on the EAP and how they can facilitate the rapid and safe execution of the EAP during an emergency.
 - Evacuation routes should be discussed.
 - Emergency Warning systems for alerting the public (i.e. Connect-CTY, CodeRED) should be discussed and updated.
 - Preparation and situational awareness techniques during an emergency situation should be discussed. (i.e. Areas of high ground within the town, keeping a cell phone charged, supplies needed for an extended evacuation, navigation of flooded roads, etc.)

Table Top Exercise

- Frequency: Every 3-4 Years
- Topics:
 - Emergency management personnel should gather and discuss different emergency scenarios to assess plans, policies, and procedures.

Functional Exercise

- Frequency: Every 5 Years
- Topics:
 - A functional exercise is conducted to test and validate the coordination, command, and control between the Selectmen, Caretaker, EMD, and all agencies involved with carrying out the EAP.
 - This type of exercise does not include any "boots on the ground".

After each of the tests mentioned above, a "lessons learned: discussion and evaluation should be conducted. The discussions should highlight procedures that work well and those that did not; as well as inaccurate information (within the flowchart, inundation maps, resident contacts, assigned responsibilities, equipment, etc.). Results should be written down and distributed to the associated parties and any corrections and updates should be made.

The training and testing activities should be fully documented.

Updating and Posting

All aspects of the EAP should be reviewed and updated once per year. The Town of Granby is responsible for coordinating the review and updates for this EAP.

During the review, a determination of any new developments or other changes downstream or elsewhere should be made to determine whether any revisions to the current EAP are necessary. It is imperative that all other holders of the EAP receive updates to the EAP <u>immediately</u> upon becoming aware of necessary changes to keep the EAP workable. This includes revisions when

phone numbers and/or names change for Notification Flowchart personnel and downstream residents.

An up-to-date copy of the flowchart and notification list should be in prominent locations in the offices of the personnel responsible for the EAP implementation.

A copy of the complete up-to-date EAP should also be available to all operators and personnel responsible for the implementation of the EAP. At a minimum, a full copy of the EAP should be located at the following locations:

- Owner: Town of Granby, Senior Center Building 2nd Floor 10-B West State Street, Granby, MA.
- Local Emergency Management Director: Chris Martin, 5 Carlisle Avenue, Granby, MA 01033.
- Massachusetts Emergency Management Agency (MEMA): 400 Worcester Road, Framingham, MA 01702
- Massachusetts Department of Conservation and Recreation, Office of Dam Safety: William Salomaa, Director, 251 Causeway Street, Boston, MA 02114

Emergency Response Coordination

During an emergency situation, the **Incident Commander** will likely be the Granby Emergency Management Director. He will be responsible for the proper organization and operation of the Emergency Action Plan. He will coordinate all activities with state and local authorities.

Contact Lists

Contact lists should be maintained for facilities, structures, and other properties that may be impacted by a flood wave. Dependent upon the nature of the inundated area, the contact lists may include residents to be evacuated due to shallow flooding, facilities requiring special considerations, and other facilities. Contact lists should also consider special needs in the impacted area such as multilingual communications.

Hard copies of the list should be kept within each EAP binder. At a minimum, annual reviews and updating of the contact list should be completed to keep the list current.

A form for filling in contact information is provided at the end of this Appendix.

Alternative Systems of Communication

If there is an interruption in telephone service during an emergency condition, emergency response personnel should broadcast over their radio communications system and cellular phones as necessary. Cell phone/telephone numbers for the emergency responders should be maintained and updated in the notification flowchart on a regular basis. Notifying the public can be accomplished with Reverse 911 systems (such as Connect-CTY or CodeRED), patrol cars, door to door, social media (Facebook, Twitter), and roadside message boards.

Emergency Labor, Supplies and Equipment

Once an emergency condition has been identified, mobilization of the appropriate equipment is key to addressing the situation. The following lists provide partial equipment lists for the conditions described above. This list should be modified as required to address actual conditions at the time of the emergency. Additional equipment, not listed below, may be necessary. The actual condition and estimated response time versus the rate of deterioration of the dam may preclude the repair of the structure and necessitate full evacuation. The primary goal is to protect human life and minimize property damage.

- Emergency lights and generators for dam work or evacuation
- Construction equipment if the dam is repairable
- Loaders
- Excavators
- Gravel hauling trucks
- High wheel trucks
- Sandbags
- Shovels
- Tree removal equipment
- Barriers, barricades and personnel transportation to facilitate evacuation

The provision of labor, equipment and materials is the responsibility of the dam owner. As such the following sections provide recommendations for establishing relationships and agreements with local contractors, vendors, and suppliers.

Subcontractors

The Town of Granby should develop/maintain open-ended contracts with a number of general contractors and/or suppliers. These contracts allow the dam owner to hire equipment as needed at a set hourly rate. Materials could be purchased from any of the contractors.

Potential Borrow Areas Around the Town

Potential borrow areas should be identified that could be utilized as sources of fill material in the event of an emergency condition at the dam requiring extra material. The owners of these and any other gravel pits that may be utilized during an emergency should be contacted.

TABLE A.1: Contact List for Residences and Businesses in Forge Pond Dam'sDownstream Inundation Zone

(To be filled out by EAP plan holder)

Address	Phone Number	Notes
23 School Street	N/A	Notify and evacuate
232 Batchelor Street	N/A	Notify and restrict access to low lying areas of the property
School Street at Batchelor Brook	N/A	Place barriers and establish detours
Trompke Avenue	N/A	Notify, evacuate and restrict access.
North Street at Batchelor Brook	N/A	Place barriers and establish detours
Porter Street at Batchelor Brook	N/A	Place barriers and establish detours
Aldrich Lake	N/A	Monitor conditions and implement the site's EAP as necessary.

APPENDIX B

Emergency Detection, Evaluation & Classification

EMERGENCY DETECTION, EVALUATION & CLASSIFICATION

The detection, evaluation and classification of a potential emergency situation are crucial in determining the level of response and notification required in order to minimize the response time.

The following emergency classification system is proposed for this site:

- <u>Dam Safety WATCH:</u> "Potential failure is developing": This is a situation where a failure may eventually occur if left unattended. This situation will require a Phase I response with continuous monitoring of the situation. This emergency classification level was formerly titled "Condition I".
- Dam Failure WARNING: "Failure is Imminent or has occurred": This is a situation where a failure either has occurred, is occurring, or is just about to occur. This situation will require Phase I and II responses that will proceed with evacuation procedures. This emergency classification level was formerly titled "Condition II".

Examples of the preplanned procedures and notification that should be followed based on the various conditions observed during either storm or fair-weather conditions are outlined below. These are examples and are not intended to describe all possible conditions, nor are they intended to limit the actions taken during a given event.

B.1 Dam Safety WATCH Examples

Notify: Dam owner, caretaker, EMD, Engineer, MA DCR ODS, MEMA, Massachusetts State Police

- Earthquake resulting in visible damage to the dam or appurtenances
- Other situations which may lead to damage at the structure
 - Evidence of vandalism
 - o Bomb threat
 - A civil disorder near the reservoir
 - Any aircraft accident near the reservoir
- Water level of the impoundment is at an unsafe level and is rising, threatening to overtop the dam
- Discharges resulting in significant erosion and/or scour
- Any developing erosion, settlement, or upheaval occurring on the downstream slope or at the toe of the dam that is considered to be controllable
- Any undocumented leakage through any dam structure considered to be controllable

B.2 Dam Failure Warning Examples

Notify: ALL PARTIES LISTED ON THE NOTIFICATION FLOWCHART

• Water has overtopped or will overtop the dam

- Uncontrollable erosion, settlement, or upheaval occurring on the downstream slope or at the toe of the dam
- Uncontrollable leakage through any dam structure resulting in degradation to the structural integrity of the dam
- A dislocation or failure of any structure which allows for an expanding, uncontrollable discharge of water through the spillway or dam, indicating a breach is occurring
- Dam is failing, is about to fail, or has failed

A Dam Safety Watch may be declared initially with gradual transition into a Dam Failure Warning or a Dam Failure Warning may be declared immediately, depending on the actual conditions.

While these actions attempt to generalize responses to the observed conditions, the judgment of the primary observer and/or knowledgeable person(s) must be utilized. While some conditions such as breaching, overtopping and severe piping can dictate an immediate evacuation, others will require the observer to determine the extent of the concern and the probability of the concern being addressed within a timely fashion.

B.3 Additional Guidance for Determining the Emergency Level

Additional guidance will be provided as necessary.

Event	Situation	Emergency Leve
	New cracking along the concrete structure with radial, transverse, or vertical displacement	Watch
Structural Cracking	New cracks in the concrete with seepage	Watch
5	New cracks/old cracks with actively progressing displacements	Warning
Foundation	New cracks at the abutment greater than ¹ / ₄ -inch wide without seepage	Watch
Weakness	Cracks in the abutment with seepage	Watch
	Visual movement/slippage of the embankment slope	Warning
	Cracking at the construction joint	
Construction Joint	Cracked construction joint with seepage	Watch
Cracking	Cracked construction joint with seepage and	Warning
0.11.1	actively progressing displacements	Warning
Sinkholes	Rapidly enlarging sinkhole	wanning
Emboultmont	New cracks in the embankment greater than ¹ / ₄ -inch	
Embankment Cracking	wide without seepage Cracks in the embankment with seepage	Watch / Warning
Clacking	Earthquake felt within 50 miles of the dam	
Earthquake	Earthquake resulting in visible damage to the dam or appurtenances	Watch
Dartiquake	Earthquake resulting in uncontrollable release of water from the dam	Warning
Security Threat	Verified bomb threat that, if carried out, could result in damage to the dam	Watch
Security Thread	Detonated bomb that has resulted in damage to the dam or appurtenances	Warning
	Damage to dam or appurtenances with no impacts to the functioning of the dam	
Sabotage/Vandalism	Damage to dam or appurtenances that has resulted in seepage flow	Watch
	Damage to dam or appurtenances that has resulted in uncontrolled water release	Warning

B.1: Possible Failure Modes

• "--" signifies a non-emergency situation; an unusual event is slowly developing.

• * "Watch / Warning" signifies that site-specific visual inspection is warranted and engineering judgement is required to classify the emergency level.

B.4 Potential Deficiencies That Can Lead to Dam Failure

The purpose of the section is to educate the user of the EAP as to some of the common causes of dam instability and possible failure. A short definition of each deficiency is listed along with typical causes. This is not intended to be an exhaustive list of all failure mechanisms as every dam has a unique set of conditions which will influence the development of conditions and concerns.

1. Flow Erosion

- Wash out of spillways, embankment sections.
- Causes: poor compaction of silt backfill; lack of riprap or concrete protection at interface between soil embankment and concrete structure; erosion by flow over embankment, spillway, or through outlet.

2. Embankment Leakage, Piping

- Excessive seepage resulting in internal erosion followed by formation of a "pipe" through the embankment, which once formed, causes rapid flow erosion and wash out of the embankment.
- Causes: poor compaction of soil along interface with concrete structures; tree root and rodent holes; inadequate or nonexistent filters between fine and coarse soils; cracks or voids within the concrete structure.

3. Foundation Leakage, Piping

- Wash-out of foundation material below dam causing undermining.
- Causes: poor interface with bedrock and concrete structures; excessive seepage at dam toe carrying soil with it.

4. <u>Sliding</u>

- Serious movement in foundation or concrete structure which either result in dam failure or significantly weaken the dam structure.
- Causes: foundation material weak; excessive water pressure in structure or foundation.
- 5. Deformation
- Gross deformation of dam or outlet structures resulting in immediate failure or cracking of the dam, and subsequent washouts.
- Causes: excessive settlement in foundation, ice jacking (pressure exerted by expending/contracting ice structures).
- 6. Blowing of Trees from Embankment
- Blowing of trees on and near the embankment could result in substantial cracks and scour of the embankment and subsequent washout of the embankments.

- Causes: heavy rain associated with gusty winds and natural aging and poor root system of the trees on and near the embankments.
- 7. Reduction of Crest Elevation
- Deterioration or washout of dam crest.
- Causes: poor concrete condition; heavy rain runoff.

8. Dam Overtopping

- Water flows over the crest of the dam causing erosion and subsequently reducing dam height with time. If overtopping continues for any length of time it may lead to a total failure of the dam.
- Causes: heavy rain; blocked or inadequately sized spillway.
- 9. Cracking
- Longitudinal cracking can due to movements and/or settlements of the dam and can allow water to infiltrate the concrete.
- Transverse cracking can be due to horizontal and/or vertical movement and can result in a flow path across the concrete structure.
- Thin cracks can be very deep and intersect the phreatic surface.

APPENDIX C Termination & Recovery

APPENDIX C TERMINATION & RECOVERY

If the EAP has been placed into action and the event has been deemed to not be an emergency, or the threat has been mitigated, termination of the emergency response under the EAP will be the sole responsibility of the incident commander. Termination process should include, but not be limited to, the following steps:

- Notify all agencies and parties contacted during the response of the situation termination.
- Issue public notification.
- Complete post-situation dam inspection.
- Implement post-situation recovery, including restoring impacted areas such that they are safe for public use and repairing or otherwise addressing damaged infrastructure.

APPENDIX D Materials & Equipment

APPENDIX D AVAILABLE MATERIALS & EQUIPMENT

The Town of Granby should maintain a current list of contractors under contract or prequalified to complete work for the Town along with contact names, address, telephone numbers, and capabilities (i.e. material and equipment). Copies of the contracts as well as a schedule for contract renewals should be maintained in this section of the EAP.

The Town of Granby should maintain an updated list of available equipment from the local state park (if applicable) within this section. This list should include the location at which this equipment is stored as well as the status of the equipment (working, damaged, etc.).

Lenart Consulting Service, LLC has prepared an abbreviated list of contractors who have completed dam projects within Massachusetts for informational purposes:

Contractor	Phone Number	Locations
T Ford Company, Inc.	978-352-5606	Georgetown, MA
J.H. Lynch & Sons, Inc.	401-333-4300	Millbury, MA; other locations in CT
		& RI
New England Infrastructure Inc.	978-293-3535	Hudson, MA
WES Construction Corp.	781-294-1080	Halifax, MA
NEL Corporation	978-777-2085	Middleton, MA
R. Zoppo Corp.	781-344-8822	Stoughton, MA
S&R Corporation	978-441-2000	Lowell, MA
James A. Gross Contractors	781-862-7307	Lexington, MA
Northern Construction LLC	413-289-1230	Weymouth, MA; Palmer, MA
Maxymillian Technologies	413-499-3050	Pittsfield, MA
E.T. & L. Corp.	978-897-4353	Stow, MA
Charter Contracting Company	857-246-6800	Boston, MA
LLC		
Mark Santora PE Inc.	508-839-5113	North Grafton, MA

APPENDIX E Signoff Sheets

APPENDIX E SIGNOFF SHEETS

RECORD OF REVISIONS

Date of Revision	Revision #	Sections Reviewed and Revisions Made	By Whom
October	0	Original EAP Developed	Lenart Consulting Service, LLC

RECORD OF TRAINING

Date of Training	Description	Attendees
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5		

APPENDIX F

Common Dam Safety Definitions

APPENDIX F COMMON DAM SAFETY DEFINITIONS

For a comprehensive list of dam engineering terminology and definitions refer to 302 CMR 10.00 Dam Safety, or other reference published by FERC, Dept. of the Interior Bureau of Reclamation, or FEMA. Please note should discrepancies between definitions exists, those definitions included within 302 CMR 10.00 govern for dams located within the Commonwealth of Massachusetts.

Orientation

<u>Upstream</u> – Shall mean the side of the dam that borders the impoundment.

<u>Downstream</u> – Shall mean the high side of the dam, the side opposite the upstream side.

<u>Right</u> – Shall mean the area to the right when looking in the downstream direction.

Left – Shall mean the area to the left when looking in the downstream direction.

Dam Components

<u>Dam</u> – Shall mean any artificial barrier, including appurtenant works, which impounds or diverts water.

<u>Embankment</u> – Shall mean the fill material, usually earth or rock, placed with sloping sides, such that it forms a permanent barrier that impounds water.

Crest – Shall mean the top of the dam, usually provides a road or path across the dam.

<u>Abutment</u> – Shall mean that part of a valley side against which a dam is constructed. An artificial abutment is sometimes constructed as a concrete gravity section, to take the thrust of an arch dam where there is no suitable natural abutment.

<u>Appurtenant Works</u> – Shall mean structures, either in dams or separate therefrom, including but not be limited to, spillways; reservoirs and their rims; low level outlet works; and water conduits including tunnels, pipelines, or penstocks, either through the dams or their abutments.

<u>Spillway</u> – Shall mean a structure over or through which water flows are discharged. If the flow is controlled by gates or boards, it is a controlled spillway; if the fixed elevation of the spillway crest controls the level of the impoundment, it is an uncontrolled spillway.

Size Classification

(as listed in Commonwealth of Massachusetts, 302 CMR 10.00 Dam Safety)

<u>Large</u> – Structure with a height greater than 40 feet or a storage capacity greater than 1,000 acrefeet.

<u>Intermediate</u> – Structure with a height between 15 and 40 feet or a storage capacity of 50 to 1,000 acre-feet.

 \underline{Small} – Structure with a height between 6 and 15 feet and a storage capacity of 15 to 50 acrefeet.

<u>Non-Jurisdictional</u> – Structure less than 6 feet in height or having a storage capacity of less than 15 acre-feet.

Hazard Classification

(as listed in Commonwealth of Massachusetts, 302 CMR 10.00 Dam Safety)

<u>High Hazard (Class I)</u> – Shall mean dams located where failure will likely cause loss of life and serious damage to home(s), industrial or commercial facilities, important public utilities, main highway(s) or railroad(s).

<u>Significant Hazard (Class II)</u> – Shall mean dams located where failure may cause loss of life, and damage to home(s), industrial or commercial facilities, secondary highway(s) or railroad(s), or cause the interruption of the use or service of relatively important facilities.

Low Hazard (Class III) – Dams located where failure may cause minimal property damage to others. Loss of life is not expected.

General

 $\underline{\text{EAP}} - \underline{\text{Emergency Action Plan}} - \underline{\text{Shall mean a predetermined plan of action to be taken to reduce the potential for property damage and/or loss of life in an area affected by an impending dam break.}$

<u>O&M Manual – Operations and Maintenance Manual</u> - Document identifying routine maintenance and operational procedures under normal and storm conditions.

Normal Pool – Shall mean the elevation of the impoundment during normal operating conditions.

<u>Acre-Foot</u> – Shall mean a unit of volumetric measure that would cover one acre to a depth of one foot. It is equal to 43,560 cubic feet. On million U.S. gallons = 3.068 acre feet.

<u>Height of Dam</u> – Shall mean the vertical distance from the lowest portion of the natural ground, including any stream channel, along the downstream toe of the dam to the crest of the dam.

<u>Spillway Design Flood (SDF)</u> – Shall mean the flood used in the design of a dam and its appurtenant works particularly for sizing the spillway and outlet works, and for determining maximum temporary storage and height of dam requirements.

Condition Rating

<u>Unsafe</u> – Major structural, operational, and maintenance deficiencies exist under normal operating conditions.

<u>Poor</u> – Significant structural, operation and maintenance deficiencies area clearly recognized for normal loading conditions.

 \underline{Fair} – Significant operational and maintenance deficiencies, no structural deficiencies. Potential deficiencies exist under unusual loading conditions that may realistically occur. Can be used when uncertainties exist as to critical parameters.

<u>Satisfactory</u> – Minor operational and maintenance deficiencies. Infrequent hydrologic events would probably result in deficiencies.

 \underline{Good} – No existing or potential deficiencies recognized. Safe performance is expected under all loading including SDF.

REFERENCES

REFERENCES

The following references were utilized during the preparation of this report and the development of the recommendations presented herein:

- 1. Design of Small Dams", United States Department of the Interior Bureau of Reclamation, 1987.
- 2. "ER 110-2-106 Recommended Guidelines for Safety Inspection of Dams", Department of the Army, September 26, 1979.
- 3. "Guidelines for Reporting the Performance of Dams", National Performance of Dams Program, August 1994.
- 4. Commonwealth of Massachusetts General Laws, M.G.L. 253, Section 44, Chapter 302 CMR 10.00, Dam Safety, February 10, 2017.
- Decision Support System for Water Infrastructural Security (DSS-WISETM) Lite [Computer Software], https://dsswiseweb.ncce.olemiss.edu/index.php, University of Mississippi, National Center for Computational Hydroscience and Engineering, November 8, 2016.
- 6. StreamStats, United States Geological Survey, https://streamstats.usgs.gov/ss/, accessed June 29, 2018. Peak flow regression equations were updated in 2017.
- 7. Phase I Inspection/Evaluation Report for Forge Pond Dam & Dike, Lenart Consulting Service, LLC, July 10, 2014.